



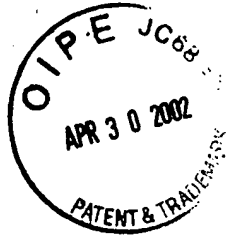
UNITED STATES PATENT AND TRADEMARK OFFICE

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Page 1 of 1
#4

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/046,137	11/08/2001	Hosheng Tu	GLAUKO.010A

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660



CONFIRMATION NO. 9916

FORMALITIES LETTER



OC000000007771933

Date Mailed: 04/03/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$753.
 - \$585 for 65 total claims over 20.
 - \$168 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1188.**

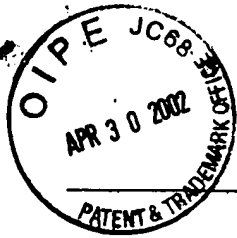
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/02/2002 BARRAHAI 00000013 10046137

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	585.00 OP
04 FC:202	168.00 OP



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HU *MP*
PATENT

Case Docket No. GLAUKO.010A

Date: April 25, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hosheng Tu, et al.
App. No. : 10/046,137
Filed : November 8, 2001
For : DRUG-RELEASING
TRABECULAR IMPLANT
FOR GLAUCOMA
TREATMENT
Group Art Unit : 3738

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

April 26, 2002

(Date)

James W. Hill
James W. Hill, M.D., Reg. No. 46,396

TRANSMITTAL LETTER

**United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on April 3, 2002, enclosed are:

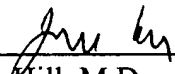
- (X) An executed Declaration by Inventors.
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.
- (X) Check for payment of fees as calculated below:

PATENT

Case Docket No. GLAUKO.010A
Date: April 25, 2002

FILING FEE		\$ 1,123.00
FEE FOR EXTENSION OF TIME (SMALL ENTITY)	0 months	\$ 0
SURCHARGE 37 CFR 1.16(e)		\$ + 65.00
TOTAL OF ABOVE CALCULATIONS		\$ 1,188.00
TOTAL FEES SUBMITTED HEREWITH		\$ 1,188.00

- (X) A check in the amount of \$1,188 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



James W. Hill, M.D.
Registration No. 46,396
Attorney of Record